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PATENT  
Attorney Docket No.: SCI-00100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

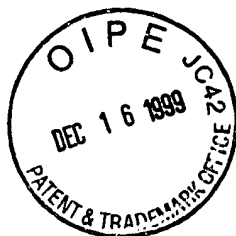
In re Application of:

James Hobart *et al.*

Serial No.: 09/018,104

Filed: February 3, 1998

For: **DUAL MODE LASER DELIVERY  
SYSTEM PROVIDING  
CONTROLLABLE DEPTH OF  
TISSUE ABLATION AND  
CORRESPONDING  
CONTROLLABLE DEPTH OF  
COAGULATION**



) Group Art Unit: 3739

) Examiner: Shay, D.

) **INFORMATION DISCLOSURE  
STATEMENT**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650)833-0160

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Group 3700

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 3,466,111;
- U.S. Patent No. 4,473,074;
- U.S. Patent No. 4,963,143;
- U.S. Patent No. 5,098,426;
- U.S. Patent No. 5,312,398;
- U.S. Patent No. 5,531,740;

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date

12/13/99 By: [Signature]

- U.S. Patent No. 5,540,676;
- U.S. Patent No. 5,618,285;
- U.S. Patent No. 5,662,644;
- European Publication No. 0 164 751 A2;
- European Publication No. EP 0 755 698 A2;
- German Publication No. DE 195 21 003 C1; and
- PCT Publication No. WO 92/18057.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS

Dated: Dec. 13, 1999

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902  
Attorneys for Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

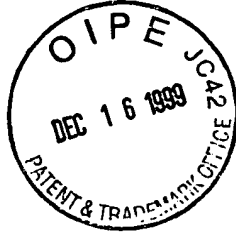
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) Group Art Unit: 3739

) Examiner: Shay, D.

) **TRANSMITTAL LETTER**

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Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement, Form PTO-1449, copies of the references contained thereon, and a Certification for the Supplemental Information Disclosure Statement for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,

HAVERSTOCK & OWENS

Dated: Dec. 13, 1999

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902  
Attorneys for Applicant

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HAVERSTOCK & OWENS LLP

Date: 12/13/99 By: [Signature]

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) Group Art Unit: 3739

) Examiner: Shay, D.

) **CERTIFICATION FOR  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, CA 94306  
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**Group 3700**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached thirteen (13) references contained in this information disclosure statement were cited within either an International Search Report (ISR) mailed on September 23, 1999. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

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HAVERSTOCK & OWENS LLP.

Date: 12/30/99 By: [Signature]

Attorney Docket No.: PATENT  
SCI-00100

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: Dec. 13, 1999

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902  
Attorneys for Applicants